



*IFW*  
**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No.: 10/549,344

Filed: May 19, 2006

For: Closure Device for a Container Made of  
Laminated Paper or Cardboard

Inventors: Werner Fritz Dubach

Atty Doc. No.: 312-05

**Certificate of Mailing**

I hereby certify that this  
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for Patents, P.O. Box 1450, Alexandria,  
VA 22313-1450, on August 22, 2006.

*John F. McNulty*  
John F. McNulty, Reg. No. 23,028  
Dated: August 22, 2006

**COVER LETTER WITH CERTIFICATE OF MAILING**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed herewith are the following:

- (1) Cover Letter with Certificate of Mailing;
- (2) Correction of filing receipt;
- (3) Copy of filing receipt;
- (4) Copy of Notice of Recordation;
- (5) Paul & Paul Postcard to be returned by the PTO.

THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE ANY ADDITIONAL  
FEES ASSOCIATED WITH THIS COMMUNICATION, OR CREDIT ANY  
OVERPAYMENT, TO PAUL & PAUL DEPOSIT ACCOUNT NO. 16-0750, ORDER NO.

<1188.

Respectfully submitted,

*John F. McNulty*  
John F. McNulty

Reg. No. 23,028

Paul & Paul

2900 Two Thousand Market St.

Philadelphia, PA 19103

(215) 568-4900



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 10/549,344

Filed: May 19, 2006

For: Closure Device for a Container Made of Laminated Paper or Cardboard

Inventors: Werner Fritz Dubach

Atty Doc. No.: 312-05

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***REQUEST FOR CORRECTION OF FILING RECEIPT***

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

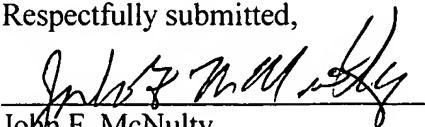
Dear Sir:

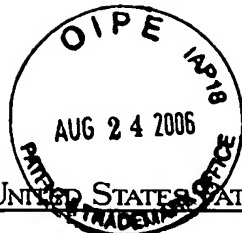
Please correct the filing receipt for the above-referenced application as follows:

- 1) The correct "Assignment for Published Patent Application" (Assignee) is:  
Bericap Holding GmbH  
Budenheim, GERMANY

A photocopy of the filing receipt with changes indicated in red is included herewith. Also, attached hereto please find a copy of the Notice of Recordation showing the Assignee of record for this application.

Respectfully submitted,

  
John F. McNulty  
Reg. No. 23,028  
Paul & Paul  
2900 Two Thousand Market St.  
Philadelphia, PA 19103  
(215) 568-4900

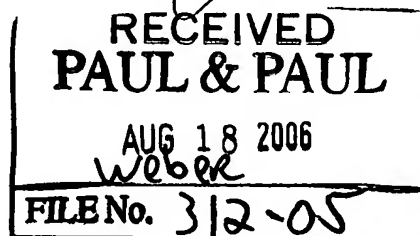
JFm, FLP

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/549,344	05/19/2006	3727	1390	312-05	2	10	1

27569  
 PAUL AND PAUL  
 2000 MARKET STREET  
 SUITE 2900  
 PHILADELPHIA, PA 19103

**DOCKETING**

CONFIRMATION NO. 6698

**FILING RECEIPT**

\*OC000000020019759\*

Date Mailed: 08/15/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Werner Fritz Dubach, Maur, SWITZERLAND;

**Assignment For Published Patent Application**~~TERXO AG, Wetzikon-Kempten, SWITZERLAND~~*Bericup Holding GmbH, Budenheim, Germany***Power of Attorney:** The patent practitioners associated with Customer Number 27569.**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/CH04/00150 03/15/2004

**Foreign Applications**

SWITZERLAND 479/03 03/21/2003

If Required, Foreign Filing License Granted: 08/14/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/549,344**

**Projected Publication Date:** 11/23/2006**Non-Publication Request:** No**Early Publication Request:** No

**Title**

Closure device for a container made of laminated paper or cardboard

**Preliminary Class**

229

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/549,344	Werner Fritz Dubach	312-05

27569  
 PAUL AND PAUL  
 2000 MARKET STREET  
 SUITE 2900  
 PHILADELPHIA, PA 19103

INTERNATIONAL APPLICATION NO.	
PCT/CH04/00150	
I.A. FILING DATE	PRIORITY DATE
03/15/2004	03/21/2003

**CONFIRMATION NO. 6698**  
**371 ACCEPTANCE LETTER**



\*OC000000020019760\*

Date Mailed: 08/15/2006

### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is **ACCEPTED** for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>05/19/2006</u>	<u>05/19/2006</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 09/14/2005
- English Translation of the IA filed on 09/14/2005
- Copy of the International Search Report filed on 09/14/2005
- Information Disclosure Statements filed on 09/14/2005
- Oath or Declaration filed on 05/19/2006
- Request for Immediate Examination filed on 09/14/2005
- U.S. Basic National Fees filed on 09/14/2005
- Priority Documents filed on 09/14/2005
- Power of Attorney filed on 05/19/2006

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Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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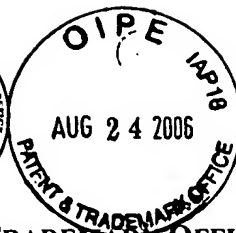
SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

DOCKETING



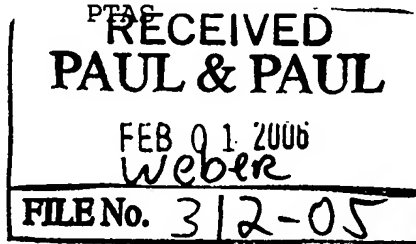
JFM

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 26, 2006

JOHN F. MCNULTY  
PAUL & PAUL  
2000 MARKET STREET  
SUITE 2900  
PHILADELPHIA, PA 19103



\*103098765A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 10/11/2005

REEL/FRAME: 017066/0396

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 312-05

ASSIGNOR:

DUBACH, WERNER FRITZ

DOC DATE: 09/20/2005

ASSIGNEE:

BERICAP HOLDING GMBH  
KIRCHSTRASSE 5  
BUDENHEIM, GERMANY 55257

SERIAL NUMBER: 10549344

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: CLOSURE DEVICE FOR A CONTAINER MADE OF LAMINATED PAPER OR CARDBOARD



017066/0396 PAGE 2

THERESA FREDERICK, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

10-13-2005

Docket No.: 312-05

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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103098765

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Werner Fritz Dubach

2. Name and address of receiving party(ies):

Name: Bericap Holding GmbH

Address: Kirchstrasse 5

55257 Budenheim

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

City: Budenheim

State/Prov.: \_\_\_\_\_

Country: Germany

ZIP: 55257

Execution Date: Sept. 20, 2005

Additional name(s) & address(es) ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

10/549,344

September 14, 2005

Additional numbers ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John F. McNulty

Registration No. 23,028

Address: Paul & Paul

2000 Market Street

Suite 2900

City: Philadelphia

State/Prov.: PA

Country: USA

ZIP: 19103

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

16-0750 10/12/2005 LMUELLER 00000121 10549344

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John F. McNulty

Name of Person Signing

[Signature]  
Signature

October 7, 2005

Date

Total number of pages including cover sheet, attachments, and document: 2